



BROKER APPLICATION FORM

SECTION A – GENERAL INFORMATION

Company name:	
Trading name:	
ABN/ACN:	Date business established:
National or state based:	
Company address:	
Postal address:	
Phone number:	Fax number:
Company website:	Email:
Contact person at company:	
Title:	
Email:	
Phone:	
Secondary contact person:	
Title:	
Email:	
Phone:	
Servicing fees contact person:	
Title:	
Email:	
Phone:	

SECTION B – PRINCIPAL INFORMATION

Principal detail 1

Full name:	Title:
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Principal detail 2

Full name:	Title:
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Principal detail 3

Full name:	Title:
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SECTION C – EXPERIENCE

Succinctly describe your operation and market plan:

Current Aggregator (if any): _____

Major lenders you are currently dealing with **through your Aggregator(s)**:

Lenders	Average Log in Volume per Month

Please list all lenders that you are **DIRECTLY** accredited with:

Lenders	Average Log in Volume per Month

Mortgage Insurers you are currently accredited with:

- GENWORTH Financial Mortgage Insurance
- PMI

SECTION D – Professional accreditation for Company / principals
(i.e. MIAA, FBAA, AAFA membership)

Please provide a copy of current membership certificate when submitting the application
Association 1:
Expiry date:
Association 2:
Expiry date:

SECTION E – PROFESSIONAL INDEMNITY INSURANCE COVERAGE

Please provide a copy of current policy when submitting the application
Insurer:
Amount (minimum \$2 Million):
Expiry date:

CERTIFICATE, AUTHORISATION & AGREEMENT

- 1) The undersigned certify that, to the best of their knowledge, the information provided in and accompanying this Application is true and correct.
- 2) This Application indicates an intention to participate in the SAM Loans Preferred Lender program but does not legally bind the applicant to do so.
- 3) The Applicant agrees that this Application, when approved by SAM Loans, shall become part of the Mortgage Origination Agreement with SAM Loans.

Principal Office Bearer (Director):

Full name:

Position:

Signature:

Date:

Secondary Office Bearer (Director / Secretary):

Full name:

Position:

Signature:

Date:

SAM Loans agrees to keep any information about the Applicant provided in or with this Application confidential and will not release it to any third party without the Applicant's consent, unless mandated by court order to do so.

SAM Loans USE ONLY

Broker ID:

Date of Approval:

Approval by:

Form 416: GST Details, Bank Details Disclosure & RCTI Agreement

Background:

Goods and Services Tax (GST) has taken place in Australia from 1st July 2000. As a service recipient to comply with the GST law, we urge you to fill in the form below to notify us you or your business's Australian Business Number (ABN). In addition, in order to manage our commission system more efficiently and based on the current Tax Commissioner's Rulings, please complete the RCTI agreement. This form is for all brokers, sub-originators and agents who wish to receive subsidies from Credit Asset Management Limited trading as SAM Loans.

Part I: GST Details

Legal Name/Business Name/Company Name:

Principal Business or Personal Address:

Australian Business Number (ABN): _____

Did you register for GST? Yes No

Part II: Bank Details (we will direct credit the payment to your account)

Bank/Financial Institution Name: _____

BSB Number: _____ (6 digits) Account Number:

Account Name: _____

Part III: Declaration

I declare that the information I have given on this form is complete and correct.

Print Name: _____ Signature: _____
Date: _____

Part IV: Recipient Created Tax Invoices (RCTI) Agreement

This agreement is between _____ ABN
_____(The Supplier)
And Credit Asset Management Limited ABN 98 094 294 363 trading as SAM Loans.

- 1) SAM Loans uses tax invoices in respect of the supplies;
- 2) The supplier will not issue tax invoices in respect of the supplies;
- 3) The supplier acknowledges that it is registered for GST when it enters into the agreement and that it will notify SAM Loans if it ceases to be registered;
- 4) SAM Loans acknowledges that it is registered when it enters into the agreement and that it will notify the supplier if it ceases to be registered or if it ceases to satisfy any of the requirements of Tax Ruling required for the issuance of an RCTI.

Signed by: _____ Date: _____
Date: _____
(Delegated signatory of SAM Loans)

Signed by: _____
(As duly authorised director or
signatory of the Supplier)

Part V: Final Notes

Effective from 1st July 2000, ABN Withholding Tax applies where a supplier fails to supply its ABN prior to payment. Withholding Tax is at a rate of 48.5%. In other words, SAM LOANS will withhold 48.5% of your commission payment if you do not supply your ABN to us.

If the RCTI Agreement is not completed and returned, SAM LOANS will defer payment until receipt of the signed RCTI agreement. Alternatively we will defer payment until you have provided us with a complying GST Tax Invoice. A copy of this agreement can be provided upon request.

Checklist

Please provide the following documentation with your completed Application

Required documentation	Please tick✓
Copy of Certificate of Incorporation and Business Registration of Trading Name (if applicable)	
Copy of professional accreditation for company/principals (i.e. MIAA, FBAA, AAFA membership)	
Copy of current Professional Indemnity cover with minimum \$2 Million	
Copy of Dealer Licence (if applicable)	
Copy of Broker Licence (if applicable to state)	
Form 416: GST Details, Bank Details Disclosure & RCTI Agreement	